

# Active Devon Finance, Governance & Audit Sub Committee (FGASC)

19 October 2023, 4.00pm, via Teams

Agenda		Recommendations
1. <b>FY24 Q2 Finance Reports</b> (ME)	<i>15 mins (for scrutiny)</i>	To note the contents
2. <b>UK Code of Governance compliance assessment</b> (ME)	<i>10 mins (for discussion &amp; approval)</i>	To note outcome of assessment and agree response
3. <b>FY24 Q2 Risk Report</b> (TH)	<i>15 mins (for scrutiny)</i>	To note the contents
4. <b>Policy Reviews</b> (ME) (a) Risk Policy (b) Internal Control Framework	<i>10 mins (for approval)</i>	To approve the reviews conducted (summarised in supporting information)
5. <b>Summary and AOB</b>		Are there any matters for action/elevation with the Board or other sub-committees

## Implications relating to equality, diversity & inclusion (EDI), welfare & safety, environmental impact and Active Devon's values:

- EDI:** No directly relevant matters
- Welfare & Safety:** Grant investment secured for dedicated Welfare Officer capacity (item 1). Ongoing staff capacity/welfare risks being managed (item 3)
- Environmental:** Approach to Environmental & Social Governance endorsed by Governance Code compliance assessment (item 2)
- Values:** Transparent publication of key governance information reflects Trust. Our approach identified as an improvement area in Governance Code compliance assessment (item 2)

## For Info (Additional context that is not covered by agenda discussions)

**Adult Safeguarding** – Safeguarding is covered under the Performance Sub-committee's remit and they will be receiving and considering the detailed action plan in response to our previous adult safeguarding standards framework submission.

## Supporting Information for the Agenda

### Agenda Item 2: [FY24 Q2 Risk Report](#)

The report is Included in appendices.

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### Agenda Item 3: **UK Code of Governance compliance assessment**

We have received the results of the compliance self-check assessment which we submitted to Sport England in April. Outcome was as follows:

- **Part 1 – Continued compliance statement** (ie existing code requirements)  
Full compliance
- **Part 2 – Factcheck Questionnaire** (ie deep dive on 7x new code requirements)  
2 criteria 'not met' (see table below)

2.7 Continuity & Succession Plans	3.1 Disclosing Information Publicly	3.4 People Plan	4.1 Cascading Good Governance	4.7 Welfare & Safety	5.2 ESG	5.3 Policies & Financial Controls
Met	Not Met	Met	Met	Met	Met	Not Met

For the 'not met' criteria three audit points were picked up. Two are straightforward and easily addressed. The third relates to the format/level of detail with which we publish our annual accounts. We have raised a query with Sport England and will then be better placed to confirm exactly what needs to be done in response. A verbal update will be provided at the meeting.

In the first instance we have had the opportunity to submit an initial response in the form of a [draft Governance Action Plan](#) (also in appendices pack). Members are invited to discuss our response, to either approve and/or suggest refinements before we confirm a final response with Sport England.

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### Agenda Item 4: **Policy Reviews**

The following key governance documents have been reviewed by SLT. Tracked change versions can be found in the appendices pack and are linked directly below, together with summary of review outcomes/recommendations:

- (a) [Risk Policy](#) – Minimal changes to bring reporting cycle and delegation of risk responsibilities in line with changes made to Constitution and recently adopted Governance Schedule.
- (b) [Internal Control Framework](#) – No material changes; hyperlinks updated

It is recommended FGASC approves both updated documents.

Review of the Equality Policy has also taken place but is being deferred to the November Board meeting, pending the Board's consideration of Diversity Ambitions.